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AL/311

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : Richard Yen-Hsiang Chang et al.
Application No. : 10/849,319 Confirmation No.: 7012
Filed : May 18, 2004
For : DYNAMIC PHASE ALIGNMENT METHODS AND APPARATUS
Examiner : Group Art Unit : 2816

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

New York, New York
September 16, 2005

TRANSMITTAL LETTER FOR
SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Transmitted herewith is a Second Supplemental Information Disclosure Statement in the above-identified application. This Statement is submitted:

- ☐ within three months of the application filing date;
- ☒ more than three months from the application filing date but before the mailing date of the first Office Action on the merits.

In accordance with 37 C.F.R. § 1.97, submission of this Statement requires no fee. However, if for any reason a fee is due, the Director is hereby authorized to charge payment of any fees required in connection with this

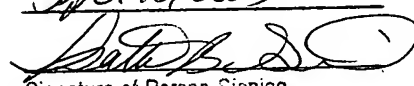
Statement to Deposit Account No. 06-1075 (Order No. 000174-0311). A duplicate copy of this letter is transmitted herewith.

Respectfully submitted,



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I hereby certify that this
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Sept. 16, 2005

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PATENTS
Attorney Docket No. AL/311

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Examiner Name :

New York, New York 10020
September 16, 2005

Hon. Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56, and 1.97 applicants hereby make the following references of record in the above-identified patent application:

U.S. Patent Documents

| | | |
|-------------|------------|-------------|
| 5,574,756 | 11-12-1996 | Jeong |
| 6,266,799 | 07-24-2001 | Lee et al. |
| 2002/090045 | 07-11-2002 | Hendrickson |

Foreign Patent Documents

| | | |
|------------|------------|---|
| EP 0317159 | 05-24-1989 | American Telephone and Telegraph Company |
|------------|------------|---|

The aforementioned references are listed on the accompanying Form PTO/SB/08(submitted in duplicate). Pursuant to 37 C.F.R. § 1.98(a)(2)(i), copies of the aforementioned patent documents are being provided herewith.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

Applicants respectfully request that the Examiner initial "All references have been considered" on the last page of the enclosed Form PTO/SB/08 to indicate that these references were considered in accordance with MPEP §§ 609 III.C(2).

It is respectfully requested that these documents be (1) fully considered by the United States Patent and Trademark Office during examination of this application; and (2) printed on any patent which may issue on this application. Applicants request that a copy of Form PTO/SB/08, as considered and initialed by the Examiner, be returned with the next communication.

Consideration of the foregoing in relation to this patent application is respectfully requested.

Respectfully submitted,



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Substitute for form 1449A/PTO

**SECOND SUPPLEMENTAL
INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

| | |
|----------------------|--------------------------|
| Application Number | 10/849,319 |
| Filing Date | May 18, 2004 |
| First Named Inventor | Richard Yen-Hsiang Chang |
| Art Unit | 2816 |
| Examiner Name | |

Sheet

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of

1

Attorney Docket Number

AL/311

U. S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Document Number | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|-----------------------|-----------------------|--|--------------------------------|--|---|
| | | Number-Kind Code ² (if known) | | | |
| | | us-5,574,756 | 11-12-1996 | Jeong. | |
| | | us-6,266,799 | 07-24-2001 | Lee et al. | |
| | | us-2002/090045 | 07-11-2002 | Hendrickson | |

FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ |
|-----------------------|--------------------------|---|--------------------------------|--|---|----------------|
| | | Country Code ³ Number ⁴ Kind Code ⁵ (if known) | | | | |
| | | EP 0317159 | 05-24-1989 | American Telephone and Telegraph Company | | |
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Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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